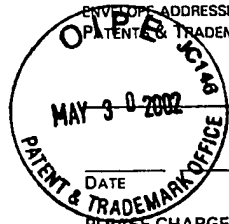


6-4 02

DEPERET  
Room 307

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS PAPER OR, IF THIS PAPER IS A TRANSMITTAL LETTER, EVERY OTHER PAPER OR FEE REFERRED TO THEREIN, IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER OF PATENTS & TRADEMARKS, WASHINGTON, DC 20231, ON



(DATE OF DEPOSIT)

DATE NAME

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

EXPRESS MAIL CERTIFICATE

5/30/02 EV 028719327-US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

DB Peck  
Name (Print)

[Signature]  
Signature

#91 Reg for  
Refund  
08-23-02

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 3463/OJ445

**DARBY & DARBY P.C.**

805 Third Avenue  
New York, NY 10022  
212-527-7700

In re Application of: Camille BORER ET AL.

Serial No.: 09/910,435

Confirmation No.: 2735

Filed: July 20, 2001

For: DEVICE AND METHOD FOR TREATING PLASTIC MATERIAL

**REQUEST FOR REFUND OF FEES PAID IN EXCESS**

Hon. Commissioner of  
Patents and Trademarks  
Washington, DC 20231

Attn: Refund Section/Accounting Division  
Office of Finance

Sir:

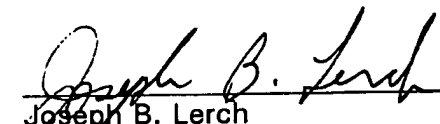
A refund in the amount of \$1,560 is requested in the above-identified patent application. The refund is for extensions fees that were paid in error on April

4, 2002 and were not required in this application.

In response to a Notice of Incomplete Reply, the time period in which to respond to the Notice was inadvertently taken from the Notice to File Missing Parts that issued September 4, 2001 (copy attached as Exhibit A). This notice was withdrawn on February 4, 2002 (copy of withdrawal is attached as Exhibit B) and a new Notice to File Corrected Application Papers was issued on February 4, 2002 (copy enclosed as Exhibit C). The new due date was April 4, 2002. A response was filed on February 15, 2002, but was incomplete. A Notice of Incomplete Reply issued on February 28, 2002 (copy enclosed as Exhibit D). The period to reply remained the same as set forth in the Notice to File Corrected Application Papers (due date of April 4, 2002). A response was timely filed on April 4 and therefore, extension fees were not required. A copy of the postcard is enclosed (Exhibit E) to evidence the timely filing of a response on April 4 and that extensions fee were not required.

Please credit the fee of \$1,560 paid in excess in this matter to Deposit Account No. 04-0100 in the name of Darby & Darby P.C.

Respectfully submitted,

  
Joseph B. Lerch  
Reg. No. 26,936  
Attorney For Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, NY 10150-5257  
(212) 527-7700

M:\3463\0J445\SS5044.WPD

Serial No. 09/910,435  
Request For Refund Of Fees Paid in Excess

-2-

Docket No. 3463/0J445

JBL



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,435	07/20/2001	Camille Borer	3463/0J445US0

CONFIRMATION NO. 2735

## FORMALITIES LETTER

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

TO: November 4, 2001  
DATE: 11/9/01  
Docketed on 11/9/01 by DD for



\*OC00000006512065\*

DATE: 11/9/01  
Docketed without file ☐ DD

Attorney DD

Date Mailed: 09/04/2001

4-4-02

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

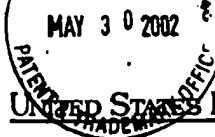
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).
- The specification was submitted in multiple column format and is not suitable for electronic reproduction (see 37 CFR 1.52(a)).

*A copy of this notice **MUST** be returned with the reply.*





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,435	07/20/2001	Camille Borer	3463/0J445

DUE: April 4, 2002

CONFIRMATION NO. 2735

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022

Docketed on 2/13 by DP for **FORMALITIES LETTER**Docketed without fee ☐

\*0000000007416858\*

Attorney [Signature]

Date Mailed: 02/04/2002

8-4-02

**NOTICE TO FILE CORRECTED APPLICATION PAPERS*****Filing Date Granted***

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice MUST be returned with the reply.*

[Signature]  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,435	07/20/2001	Camille Borer	3463/0J445

CONFIRMATION NO. 2735

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022DUE: April 4, 2002Docketed on 3/15 by DP forDocketed without file ☐Attorney [Signature]

FORMALITIES LETTER



\*OC000000007557436\*

Date Mailed: 02/28/2002

8-4-02

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/15/2002 to the Notice to File Missing Parts (Notice) mailed 02/04/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Abstract must be on a separate sheet.

*A copy of this notice **MUST** be returned with the reply.*

Ch. Chouk Chouk  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Return of this card properly stamped, will acknowledge receipt of:

- 1) Response; 2) Abstract; 3) Copy of Notice of Incomplete Reply;  
4) Petition For Extension of Time (3-5 months); 5) Check  
No. 6374 for \$1560

EXPRESS MAIL NO. 8603914085145

Applicant : Camille BORER ET AL.

Serial No. : 09/910,435

Filed : July 20, 2001

For : DEVICE AND METHOD FOR TREATING  
PLASTIC MATERIAL

Attorney : JBL/MCG/SS

File No. : 3463/01445

Mailed : 4/4/02

Mailer: PP

